

RSA® ARCHER®
GRC Platform
Implementation Guide

Bloomberg Law
Convergence

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RSA
READY

Solution Summary

Bloomberg Law Convergence for Compliance is new technology specifically designed to help your organization:

- Stay up to date on state and federal regulation updates
- Monitor your trusted sources of compliance intelligence
- Be informed of regulatory actions as they happen

Convergence allows you to track and manage regulatory information that affects your business, vendors, investors, and customers. You can use filters built to your specifications to identify the risks and opportunities in the market.

Eliminate the noise typically found when searching for regulatory updates using the advanced taxonomies of Convergence. It's search that's built by data scientists and compliance professionals alike.

Once integration is completed this filtered and tagged, regulatory intelligence items will be fed into the Regulatory Intelligence Items application within RSA Archer and integrate into the current regulatory change review workflow.

Partner Integration Overview	
RSA Archer Solution	Regulatory and Corporate Compliance
RSA Archer Use Case	Corporate Obligations Management
RSA Archer Applications	Regulatory Intelligence Items
Uses Custom Application	No
Requires On-Demand License	No

Partner Product Configuration

Before You Begin

This section provides instructions for configuring Bloomberg Law Convergence with the RSA Archer GRC Platform. This document is not intended to suggest optimum installations or configurations.


It is assumed that the reader has both working knowledge of all products involved, and the ability to perform the tasks outlined in this section. Administrators should have access to the product documentation for all products in order to install the required components.

All Bloomberg Law Convergence components must be working prior to the integration. Perform the necessary tests to confirm that this is true before proceeding.

! > Important: The integration described in this guide is being provided as a reference implementation for evaluation and testing purposes. It may or may not meet the needs and use cases for your organization. If additional customizations or enhancements are needed, it is recommended that customers contact RSA Professional Services for assistance.

Bloomberg Law Convergence Configuration

Bloomberg Law Convergence integrates with RSA Archer through the **Search API** in Convergence.

The Convergence Search API documentation is available in Convergence under the gear icon  > API Help. The professional services team at Bloomberg Law will work with you to build your filters and create the feed for your integration, to ensure you are taken care of in the process. While the Search API is quite versatile, we expect that when integrating with RSA Archer, we will only need to set up one filtered search in Convergence, which will use the following URL format:

<https://myconvergence.bna.com/SearchAPI/GetXML?ItemGuid=<SEARCH ITEM GUID>&APIKey=<API KEY/SECURITY TOKEN>&NumDays=<NUMBER OF DAYS TO GO BACK>&IsAlert=<true or false>>

Parameter	Description
ItemGuid	Globally unique identifier available when editing or viewing a search in MyConvergence. It is available on the URL.
APIKey	Available in MyConvergence by going to the gear icon > API Help. It is the API Security Token.
NumDays	(optional, defaults to 1) Specifies how many days back to limit the search to. Since most setups will pull regulatory data once a day, we recommend setting this to 1.
IsAlert	(optional, defaults to true) If true, only return the new articles. If false, return all articles that match your criteria.

This URL will be used when you set up the Data Feed.

RSA Archer GRC Configuration

RSA Archer Prerequisites

Download the following components from the RSA Archer Exchange:

File Name	Description
BloombergBNA_Federal_and_State_Registers.dfx5	Data Feed that pulls state and federal regulatory information into RSA Archer

Creating Custom Fields

1. Navigate to **Application Builder > Applications**.
2. Click **Regulatory Intelligence Items**.
3. Click the **Fields** tab.
4. Add the following custom fields, according to your needs:

Field Name	Recommended Field Type	State Register	Federal Register
Action	Text		✓
Agencies	Values List (Maximum Selections: No Maximum)	✓	✓
Agency Reference ID	Text	✓	✓
Bloomberg Metadata	Values List (Maximum Selections: No Maximum)	✓	✓
BNA Reference ID	Text	✓	✓
CFR	Text		✓
Comment Date	Date	✓	✓
Country	Values List	✓	✓
Docket	Text		✓
Document Type	Values List	✓	✓
Effective Date	Date		✓
FR Document	Text		✓
Implement Date	Date		✓
Pages	Text		✓
Process Date	Date	✓	
Region	Values List	✓	✓
Text	Text	✓	✓
Version Date	Date	✓	

Configuring the Layout

Before you can see the custom fields, they need to be added to the **Regulatory Intelligence Items** application layout.

1. Click the **Layout** tab.
2. Add all of the custom fields to this view as you would like to see them:

Importing the Data Feed File

You will need to import and configure the **BloombergBNA_Federal_and_State_Registers** data feed downloaded earlier. To import the feed file, perform the following steps:

1. In Archer GRC, go to **Integration > Data Feeds**.
2. Under **Manage Data Feeds**, Import the **BloombergBNA_Federal_and_State_Registers.dfx5** file downloaded earlier.

3. Update the following settings on the **General Information** tab:

Field	Value
Status	Active
Target	Regulatory Intelligence Items
User Name	userArcherDataFeedService (or other Archer account)

4. On the **Transport** tab:

Field	Value
Transport	
Transport Method	HTTP Transporter
Transport Configuration	
File Type	Single Data File
Action Type	Get
Data Request URI	Paste the Search API URL as described above

Example Search API URL:

https://myconvergence.bna.com/SearchAPI/GetXML?ItemGuid=ABC123AB-5956-4E69-B7DC-3426EC5442A2&APIKey=ABC12345

Data Feed Manager: BloombergBNA Federal and State Registers

Save Apply Delete Export Email

General **Transport** Navigation Source Definition Data Map Schedule

Transport

Select the approach the data feed should use to access and obtain the external source data.

* Transport Method: HTTP Transporter

Transport Configuration

Enter the required credentials to allow the data feed to locate and access the HTTP site and retrieve the specified source information. If necessary, specify header parameters that will accompany your HTTP request.

File Type: Single Data File File Filter:

Action Type: Get

Data Request Properties | Add New |

Configure the HTTP site to connect to retrieve the data source. Include any header parameters that are required.

* Data Request URI: https://myconvergence.bna.com/SearchAPI/GetXML?ItemGuid=95D58427-...-B7DC-3426EC5442A2&APIKey=Y1...6&NumDays=30&IsAlert=false

Header Parameters:	Key	Value	Actions
			X

5. Review the mappings on the **Data Map** tab. Consult [Appendix A](#) for more information on recommended field mappings, including the key field definition.
6. On the **Schedule** tab:
 - Notice that the default is set to once a day
 - Make any changes to the schedule that you'd like
 - Click Start to pull in content immediately (optional)
7. **Save** the feed

Viewing the Regulatory Items

After the Data Feed has successfully imported new regulatory items, you should be able to see new records created in Archer.

Navigate to **Regulatory and Corporate Compliance > Corporate Obligations Management > Regulatory Intelligence Items**.

From here, you should be able to see your newly-imported regulatory items:

228043 Regulatory Intelligence Items

NEW COPY SAVE SAVE AND CLOSE EDIT DELETE

Record 2 of 2

RELATED RECALCULATE EXPORT PRINT EMAIL ACCESS

First Published: 7/25/2018 2:16 PM Last Updated: 7/25/2018 2:16 PM

FEDERAL/STATE REGISTER

Source: Bloomberg BNA - StateReg
Agency Name: Department of Public Safety
Agency Reference ID: 43 TEXREG 4959
Date Published: 7/24/2018
Citation: 37 TAC 1.15.B.15.34
BNA Reference ID: StateReg_TX_35462

Region: Americas
Country: United States(USA)
State Abbreviation: TX
Version Date: 7/10/2018
Process Date: 7/24/2018
Document Type: Proposed Rule

Title: Texas Regulations - TX_35462

Abstract: 37. PUBLIC SAFETY AND CORRECTIONS 1. TEXAS DEPARTMENT OF PUBLIC SAFETY 15. DRIVER LICENSE RULES B. APPLICATION REQUIREMENTS--ORIGINAL, RENEWAL, DUPLICATE, IDENTIFICATION CERTIFICATES 37 TAC §15.34 The Texas Department of Public Safety (the department) proposes the repeal of §15.34, concerning Renewal Period Prior to Expiration. The repeal of this rule is filed simultaneously with proposed new §15.34 and is necessary to inform the public of changes to the time period for renewal prior to expiration. Suzy Whittenton, Chief Financial Officer, has determined that for each year of the first five-year period the repeal is in effect there will be no fiscal implications for state or local government, or local economies. Ms. Whittenton has also determined that there will be no adverse economic effect on small businesses, micro-businesses, or rural communities required to comply with the repeal as proposed. There is no anticipated economic cost to individuals who are required to comply with the repeal as proposed. There is no anticipated negative impact on local employment. In addition, Ms. Whittenton has also determined that for each year of the first five-year period the repeal is in effect, the public benefit anticipated as a result of enforcing the repeal will be additional time to renew a license prior to expiration and maximize the use of the federal requirement of allowing a license to be issued for eight years. The department has determined this proposal is not a "major environmental rule" as defined by Texas Government Code §2001.0225. "Major environmental rule" is defined to mean a rule the specific intent of which is to protect the environment or reduce risk to human health from environmental exposure and that may adversely affect, in a material way, the economy, a sector of the economy, productivity, competition, jobs, the environment or the public health and safety of a state or a sector of the state. This proposal is not specifically intended to protect the environment or reduce risks to human health from environmental exposure. The department has determined that Chapter 2007 of the Texas Government Code does not apply to this proposal. Accordingly, the department is not required to complete a takings impact assessment regarding this proposal. The department prepared a Government Growth Impact Statement assessment for this proposed rulemaking. The proposed rulemaking does not create or eliminate a government program; will not require an increase or decrease in future legislative appropriations to the agency; require the creation of new employee positions nor eliminate current employee positions; nor will it require an increase or decrease in fees paid to the agency. The proposed rulemaking does not create, expand, or limit an existing regulation. The proposed rulemaking does not increase or decrease the number of individuals subject to its applicability. During the first five years the proposed repeal is in effect, the proposed repeal should not impact positively or negatively the state's economy. Comments on this proposal may be submitted to Janie Sawatsky, Driver License Division, Texas Department of Public Safety, P.O. Box 4087 (MSC 0300), Austin, Texas 78773; by fax to (512) 424-5233; or by email to DLDrulecomments@dps.texas.gov. Comments must be received no later than thirty (30) days from the date of publication of this proposal. This repeal is proposed pursuant to Texas Government Code, §411.004(3), which authorizes the Publ

Link: <https://convergenceapi.bna.com/ContentItem/External/24942816000000536?ContentSetId=563261>

Text: 37. PUBLIC SAFETY AND CORRECTIONS1. TEXAS DEPARTMENT OF PUBLIC SAFETY 15. DRIVER LICENSE RULES B. APPLICATION REQUIREMENTS--ORIGINAL, RENEWAL, DUPLICATE, IDENTIFICATION CERTIFICATES 37 TAC §15.34The Texas Department of Public Safety (the department) proposes the repeal of §15.34, concerning Renewal Period Prior to Expiration. The repeal of this rule is filed simultaneously with proposed new §15.34 and is necessary to inform the public of changes to the time period for renewal prior to expiration. Suzy Whittenton, Chief Financial Officer, has determined that for each year of the first five-year period the repeal is in effect there will be no fiscal implications for state or local government, or local economies. Ms. Whittenton has also determined that there will be no adverse economic effect on small businesses, micro-businesses, or rural communities required to comply with the repeal as proposed. There is no anticipated economic cost to individuals who are required to comply with the repeal as proposed. There is no anticipated negative impact on local employment. In addition, Ms. Whittenton has also determined that for each year of the first five-year period the repeal is in effect, the public benefit anticipated as a result of enforcing the repeal will be additional time to renew a license prior to expiration and maximize the use of the federal requirement of allowing a license to be issued for eight years. The department has determined this proposal is not a "major environmental rule" as defined by Texas Government Code §2001.0225. "Major environmental rule" is defined to mean a rule the specific intent of which is to protect the environment or reduce risk to human health from environmental exposure and that may adversely affect, in a material way, the economy, a sector of the economy, productivity, competition, jobs, the environment or the public health and safety of a state or a sector of the state. This proposal is not specifically intended to protect the environment or reduce risks to human health from environmental exposure. The department has determined that Chapter 2007 of the Texas Government Code does not apply to this proposal. Accordingly, the department is not required to complete a takings impact assessment regarding this proposal. The department prepared a Government Growth Impact Statement assessment for this proposed rulemaking. The proposed rulemaking does not create or eliminate a government program; will not require an increase or decrease in future legislative appropriations to the agency; require the creation of new employee positions nor eliminate current employee positions; nor will it require an increase or decrease in fees paid to the agency. The proposed rulemaking does not create, expand, or limit an existing regulation. The proposed rulemaking does not increase or decrease the number of individuals subject to its applicability. During the first five years the proposed repeal is in effect, the proposed repeal should not impact positively or negatively the state's economy. Comments on this proposal may be submitted to Janie Sawatsky, Driver License Division, Texas Department of Public Safety, P.O. Box 4087 (MSC 0300), Austin, Texas 78773; by fax to (512) 424-5233; or by email to DLDrulecomments@dps.texas.gov. Comments must be received no later than thirty (30) days from the date of publication of this proposal. This repeal is proposed pursuant to Texas Government Code, §411.004(3), which authorizes the Publ

Certification Environment for RSA Archer GRC

Date Tested: August 1st, 2018

Certification Environment		
Product Name	Version Information	Operating System
RSA Archer GRC	6.4 SP2	Virtual Appliance
Bloomberg Law		SaaS

Appendix A (Field Mappings)

State and Federal field mappings for the data feed:

Source Field	Target Archer Field
abstract	Abstract
action	Action
agencies	Agencies
agencyName	Agency Name
agencyReferenceId	Agency Reference ID
bloombergMetadata	Bloomberg Metadata
bnaReferenceId	BNA Reference ID***
cfr	CFR
citation	Citation
commentDate	Comment Date
country	Country
datePublished	Date Published
docket	Docket
documentType	Document Type
effectiveDate	Effective Date
frDocument	FR Document
implementDate	Implement Date
link	Link
source	Source
Pages	Pages
processDate	Process Date
region	Region
stateAbbreviation	State Abbreviation
text	Text
title	Title
versionDate	Version Date

*** Denotes the **Key Field** for the data feed.