


RSA® ARCHER®
Integration Guide

**Office of the Comptroller of the
Currency (OCC) Data Feed for
RSA Archer Corporate Obligations
Management**

Last Modified: February, 2018



Solution Summary

The **Office of the Comptroller of the Currency (OCC)** charters, regulates, and supervises all national banks and federal savings associations as well as federal branches and agencies of foreign banks. The OCC is an independent bureau of the U.S. Department of the Treasury.

The OCC and RSA Archer integration allows you to centralize the RSS feed from the **OCC** site and store the data in a searchable, standardized format in RSA Archer. It captures and stores an abstract of the issue and a link to an external site (such as the regulatory news article), that helps to analyze regulatory news and alerts as they pertain to your organization's critical business processes.

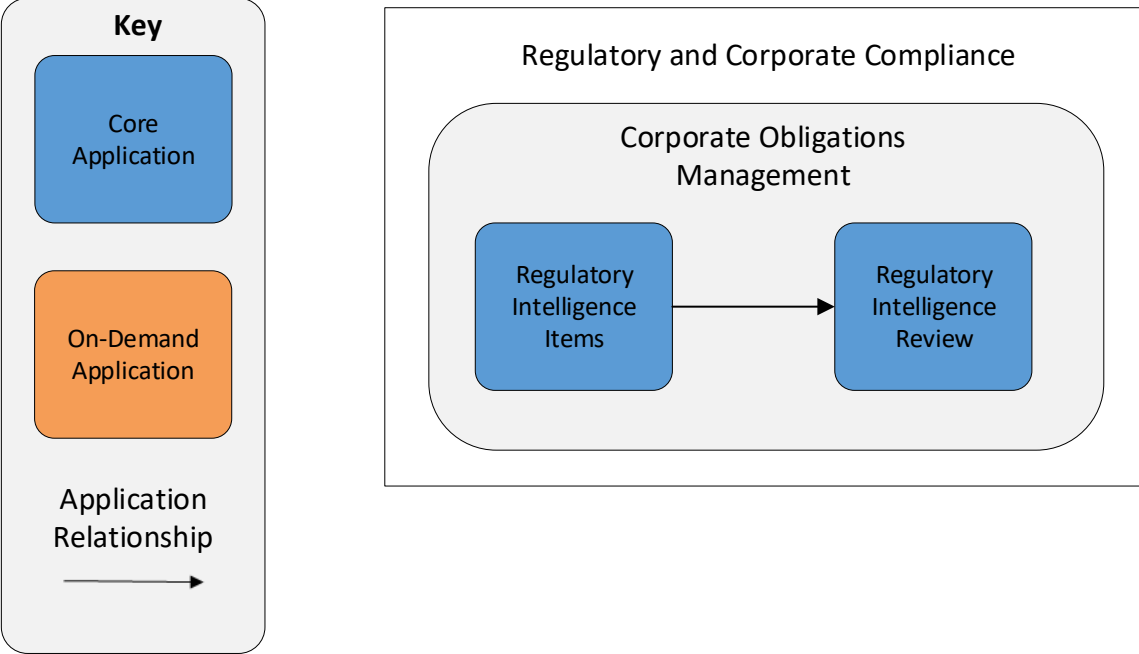
Key Features and Benefits

With the OCC Data Feed for RSA Archer Corporate Obligations Management, you will be able to:

- Consolidate regulatory intelligence data
- Get updates on recent events, current news and information
- Automatically pull the data into RSA Archer on scheduled basis

Partner Integration Overview	
RSA Archer Solution	Regulatory and Corporate Compliance Management
RSA Archer Use Case	RSA Archer Corporate Obligations Management
RSA Archer Applications	Regulatory Intelligence Items Regulatory Intelligence Review
Uses Custom Application	No
Requires On-Demand License	No

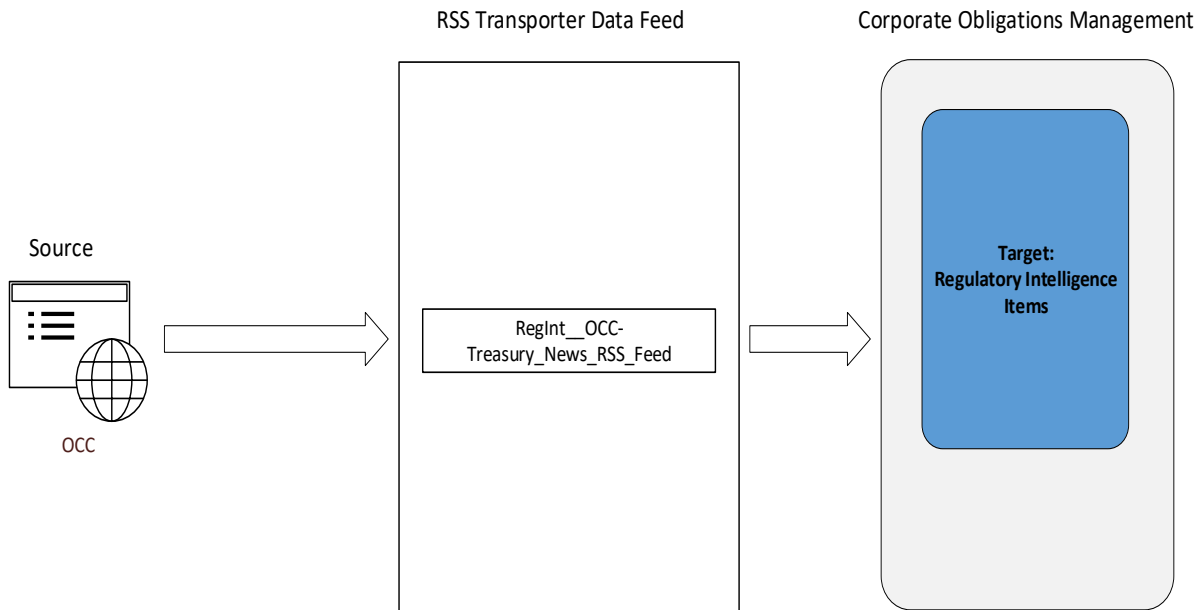
The following diagram provides an overview of the use cases and application relationships.



Integration Overview

Process Overview

The following diagram provides an overview of interaction between the OCC website and the RSA Archer Corporate Obligations Management use case.



The integration process follows the following flow:

1. OCC posts the most recent news, alerts, or updates on regulatory compliance on their website in several formats, including XML. This includes information about policies, issues, programs, and services affecting national banks and consumers. Published by the Office of the Comptroller of the Currency.
2. OCC data feed for the RSA Archer Corporate Obligations Management use case pulls the following fields from the source: OCC website and imports the data into Target: RSA Archer's Regulatory Intelligence Items application.
 - Title
 - Source
 - Link
 - Published Date
 - Description

3. The screen shot below provides example data populated in RSA Archer.

Regulatory Intelligence Items NEW RECORD

Browse Search Reports Import Data Schedules

Show All Regulatory Intelligence... EDIT RECORDS...

Tracking ID	Title	Source	Link	Date Published	Status
471467	Comptroller Congratulates Outgoing Federal Reserve Chair Yellen	Office of the Comptroller of the Currency	https://www.occ.gov/news-issuances/news-releases/2018m-occ-2018-11.html?utm_source=RSS_feed&utm_medium=RSS	2/5/2018	Not Part of a Review
471466	Agencies Seek Comment on Proposed Amendments to Swap Margin Rule	Office of the Comptroller of the Currency	https://www.occ.gov/news-issuances/news-releases/2018m-ia-2018-12.html?utm_source=RSS_feed&utm_medium=RSS	2/5/2018	Not Part of a Review
471465	OCC to Host Innovation Office Hours and Listening Session in Chicago	Office of the Comptroller of the Currency	https://www.occ.gov/news-issuances/news-releases/2018m-occ-2018-13.html?utm_source=RSS_feed&utm_medium=RSS	2/5/2018	Not Part of a Review
471468	Comptroller of the Currency Offing Hosts Former Agency Leaders to Mark OCC's 155th Anniversary	Office of the Comptroller of the Currency	https://www.occ.gov/news-issuances/news-releases/2018m-occ-2018-10.html?utm_source=RSS_feed&utm_medium=RSS	2/2/2018	Not Part of a Review
471469	OCC Releases Dodd-Frank Act Stress Test Scenarios for 2018	Office of the Comptroller of the Currency	https://www.occ.gov/news-issuances/news-releases/2018m-occ-2018-9.html?utm_source=RSS_feed&utm_medium=RSS	2/1/2018	Not Part of a Review
471470	OCC Releases 2018 Schedule of Workshops for Directors of National Community Banks and Federal Savings Associations	Office of the Comptroller of the Currency	https://www.occ.gov/news-issuances/news-releases/2018m-occ-2018-8.html?utm_source=RSS_feed&utm_medium=RSS	1/29/2018	Not Part of a Review
471471	OCC Supports Earned Income Tax Credit Awareness Day	Office of the Comptroller of the Currency	https://www.occ.gov/news-issuances/news-releases/2018m-occ-2018-7.html?utm_source=RSS_feed&utm_medium=RSS	1/26/2018	Not Part of a Review
471472	Agencies to Give Favorable Community Reinvestment Act Consideration to Revitalization Activities in Disaster Areas Affected by Hurricane Maria	Office of the Comptroller of the Currency	https://www.occ.gov/news-issuances/news-releases/2018m-ia-2018-6.html?utm_source=RSS_feed&utm_medium=RSS	1/25/2018	Not Part of a Review
471473	OCC Enforcement Actions and Terminations for January 2018	Office of the Comptroller of the Currency	https://www.occ.gov/news-issuances/news-releases/2018m-occ-2018-5.html?utm_source=RSS_feed&utm_medium=RSS	1/19/2018	Not Part of a Review
471474	OCC Report Discusses Key Risks for Federal Banking System	Office of the Comptroller of the Currency	https://www.occ.gov/news-issuances/news-releases/2018m-occ-2018-4.html?utm_source=RSS_feed&utm_medium=RSS	1/18/2018	Not Part of a Review

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Enterprise Governance, Risk and Compliance Version 9.3

Installation and Configuration

Before You Begin

This section provides instructions for configuring the OCC Data Feed for the RSA Archer Corporate Obligations Management use case. This document is not intended to suggest optimum installations or configurations.

It is assumed that the reader has both working knowledge of all products involved, and the ability to perform the tasks outlined in this section. Administrators should have access to the product documentation for all products in order to install the required components.

The RSA Archer Corporate Obligations Management use case must be installed and working prior to the integration. Perform the necessary tests to confirm that this is true before proceeding.

! Important: The integration described in this guide is being provided as a reference implementation for evaluation and testing purposes. It may or may not meet the needs and use cases for your organization. If additional customizations or enhancements are needed, it is recommended that customers contact RSA Professional Services for assistance.

Prerequisites (System Requirements)

The Regulatory Intelligence Items application is required for installation and operation of the OCC Data Feed for the RSA Archer Corporate Obligations Management use case. It serves as a target application for the data feed.

Components	Recommended Software
RSA Archer	RSA Archer 6.1 and later
Pre-Requisite Applications	<u>Regulatory Intelligence Items</u> – (RSA Archer Corporate Obligations Management)

Data Feed Configuration

The following data feeds are used as a part of the integration process:

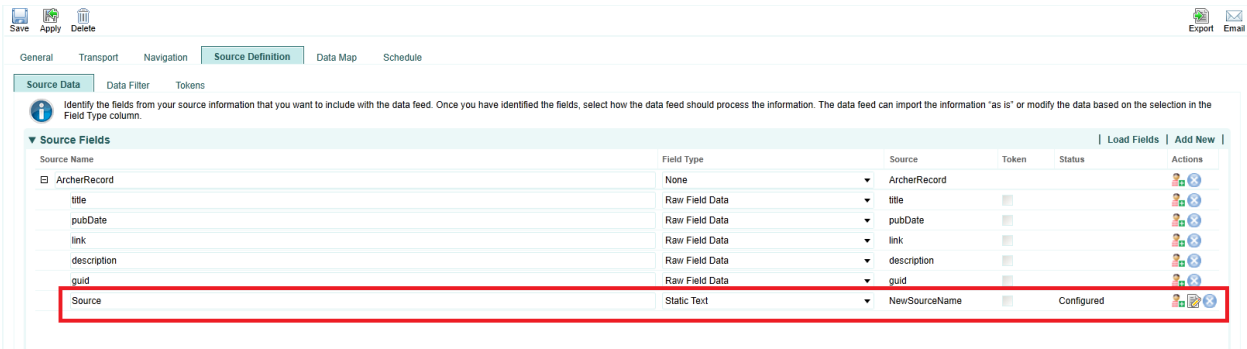
RegInt_OCC-Treasury_News_RSS_Feed is an RSS transporter feed which fetches the data from http://www.occ.treas.gov/rss/occ_news.xml site and creates/updates the records in Regulatory Intelligence Items Application.

Perform the following steps:

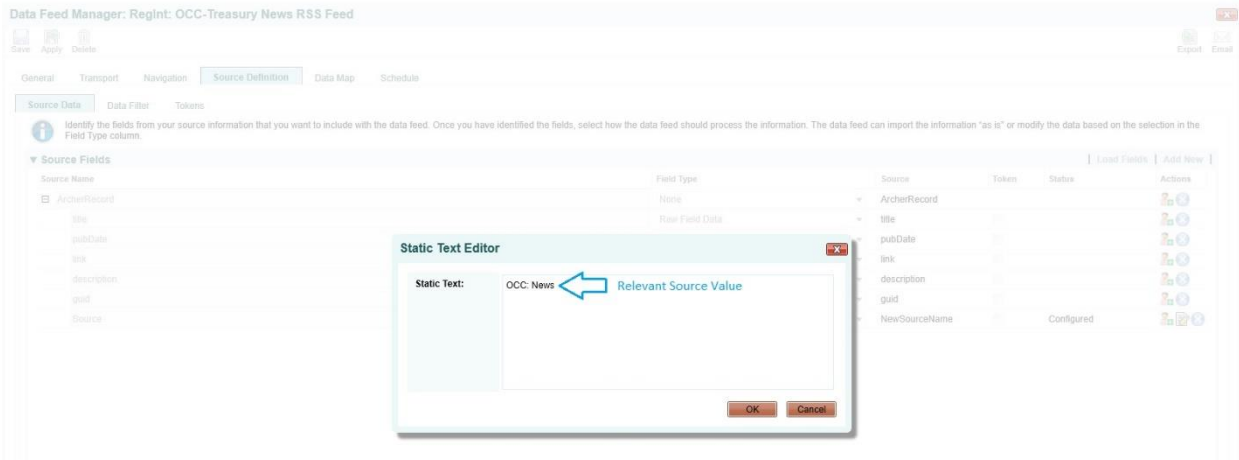
1. Log into the RSA Archer platform and click the Administration workspace tab.
2. Click **Data Feeds** in the Navigation Menu under Integration.
3. Click the **Import** link and browse to the **RegInt_OCC-Treasury_News_RSS_Feed.dfx5**.
4. Verify settings on the **General Information** tab. Be sure to change the status to **Active** before using the feed.
5. Verify the settings on the **Transport** tab.
6. Verify the settings on the **Source Definition** tab. This will be pre-configured to identify the necessary fields to generate a score snapshot.
7. Verify the settings and mappings on the **Data Map** tab.
8. The Key Definition fields should be pre-populated based on the information from the DFX import file. Ensure that the Key Definition fields are set.
9. The final configuration step is to schedule the data feed. Click the **Schedule** tab and configure the frequency and start time of the Data Feed.
10. Click **Save** to apply your configuration to the data feed. Click the **Run Detail** link for additional information on the status of the feed or to troubleshoot any feed errors.

OCC Data Feed for RSA Archer Corporate Obligations

Note: The Source Fields on the **Source Definition** tab will be automatically populated when you import the data feed. Repopulating the fields by clicking on “Load Fields” will lead to removal of one pre-populated field from the list (i.e., **Source**). Hence, add a new field by clicking on “Add New” and rename as “Source” and select field type “Static Text” with appropriate value in the Text editor (see screenshots below). Also, be sure that the data mappings are configured correctly after the load fields.



Source Name	Field Type	Source	Token	Status	Actions
ArcherRecord	None	ArcherRecord			
title	Raw Field Data				
pubDate	Raw Field Data				
link	Raw Field Data				
description	Raw Field Data				
guid	Raw Field Data				
Source	Static Text	NewSourceName		Configured	



Static Text Editor

Static Text: OCC: News ← Relevant Source Value

OK Cancel

Certification Environment for RSA Archer

Date Tested: January, 2018

Certification Environment		
Product Name	Version Information	Operating System
RSA Archer Platform	6.3	Virtual Appliance