

**RSA® ARCHER®**  
**GRC Platform**  
**Implementation Guide**

**Corporate Obligations Management**  
**Centers for Medicare & Medicaid Services**  
**(CMS) Data Feed**

## Solution Summary

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The Centers for Medicare & Medicaid Services, **CMS**, is a federal agency within the United States Department of Health and Human Services (HHS) that administers the Medicare program and works in partnership with state governments to administer Medicaid, the Children's Health Insurance Program (CHIP), and health insurance portability standards. In addition to these programs, CMS has other responsibilities, including the administrative simplification standards from the Health Insurance Portability and Accountability Act of 1996 (HIPAA), quality standards in long-term care facilities through its survey and certification process, clinical laboratory quality standards under the Clinical Laboratory Improvement Amendments, and oversight of HealthCare.gov.

The CMS and RSA Archer integration allows you to centralize the Internet Only Manuals RSS feed from **CMS** site and store the data in a searchable, standardized format in RSA Archer. It captures and stores an abstract of the issue and a link to an external site, that helps to analyze regulatory news and alerts as they pertain to your organization's critical business processes.

## Key Features and Benefits

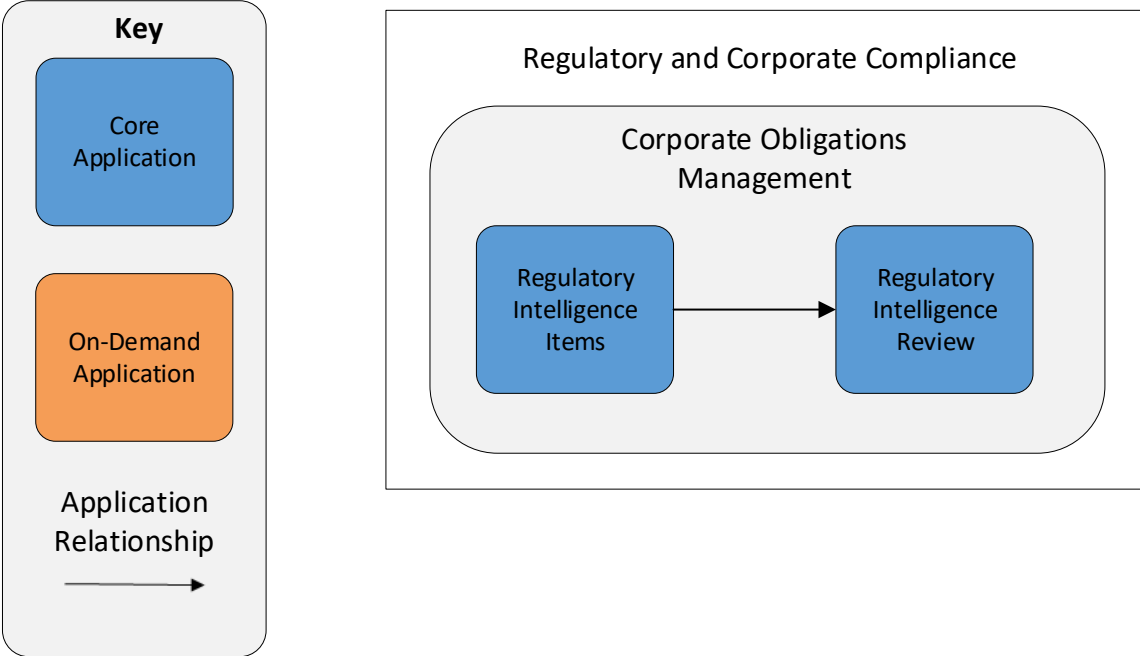
With the CMS Data Feed for RSA Archer Corporate Obligations Management, you will be able to:

- Consolidate Regulatory Intelligence Data.
- Get updates on recent events, current Manuals from CMS.
- Automatically pulls the data into RSA Archer on a scheduled basis.

<b>Partner Integration Overview</b>	
<b>RSA Archer Solution</b>	Regulatory and Corporate Compliance
<b>RSA Archer Use Case</b>	RSA Archer Corporate Obligations Management
<b>RSA Archer Applications</b>	Regulatory Intelligence Items
<b>Uses Custom Application</b>	No
<b>Requires On-Demand License</b>	No

# Corporate Obligations Management CMS Data Feed

The following diagram provides an overview of the use cases and applications relationships.

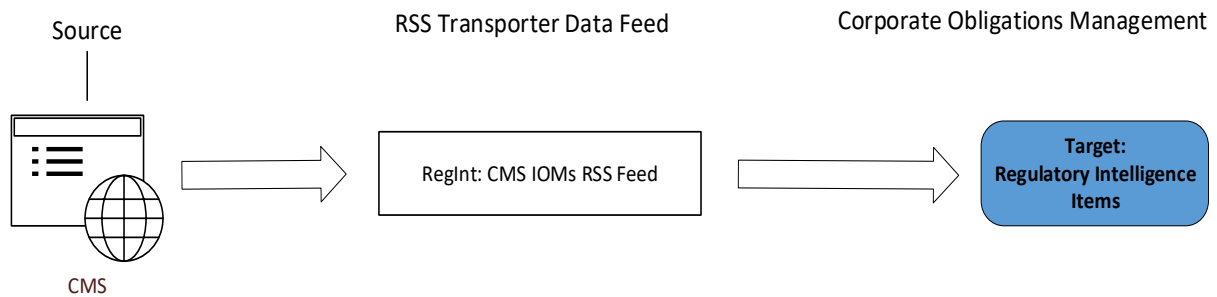


## Integration Overview

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### *Process Overview*

The following diagram provides an overview of the CMS website and RSA Archer Corporate Obligations Management use case integration.



The business process follows the following flow:

1. **CMS** posts the most recent updates on regulations and guidance on their website in several formats, including XML.
2. The CMS data feed for the RSA Archer Corporate Obligations Management use case pulls the below mentioned data from the source: CMS website and imports the data into Target: RSA Archer's Regulatory Intelligence Items application within the following fields:
  - Title
  - Guid
  - Link
  - Description
  - Souce

3. The screenshot below provides example data populated in RSA Archer:

The screenshot displays the 'Regulatory Intelligence Items' interface in RSA Archer. The table contains the following data:

Tracking ID	Title	Source	Link	Date Published	Status
419924	100-04	Medicare: CMS IOMs	<a href="http://www.cms.gov/Regulations-and-Guidance/Guidance/Manuals/Internet-Only-Manuals-ICMS-Items/CMS018912.html">http://www.cms.gov/Regulations-and-Guidance/Guidance/Manuals/Internet-Only-Manuals-ICMS-Items/CMS018912.html</a>		Not Part of a Review
419925	100-21	Medicare: CMS IOMs	<a href="http://www.cms.gov/Regulations-and-Guidance/Manuals/Internet-Only-Manuals-ICMS-Items/CMS020381.html">http://www.cms.gov/Regulations-and-Guidance/Manuals/Internet-Only-Manuals-ICMS-Items/CMS020381.html</a>		Not Part of a Review
419926	100-17	Medicare: CMS IOMs	<a href="http://www.cms.gov/Regulations-and-Guidance/Manuals/Internet-Only-Manuals-ICMS-Items/CMS019466.html">http://www.cms.gov/Regulations-and-Guidance/Manuals/Internet-Only-Manuals-ICMS-Items/CMS019466.html</a>		Not Part of a Review
419927	100-03	Medicare: CMS IOMs	<a href="http://www.cms.gov/Regulations-and-Guidance/Manuals/Internet-Only-Manuals-ICMS-Items/CMS014951.html">http://www.cms.gov/Regulations-and-Guidance/Manuals/Internet-Only-Manuals-ICMS-Items/CMS014951.html</a>		Not Part of a Review
419928	100-20	Medicare: CMS IOMs	<a href="http://www.cms.gov/Regulations-and-Guidance/Manuals/Internet-Only-Manuals-ICMS-Items/CMS020095.html">http://www.cms.gov/Regulations-and-Guidance/Manuals/Internet-Only-Manuals-ICMS-Items/CMS020095.html</a>		Not Part of a Review
419929	100-19	Medicare: CMS IOMs	<a href="http://www.cms.gov/Regulations-and-Guidance/Manuals/Internet-Only-Manuals-ICMS-Items/CMS020107.html">http://www.cms.gov/Regulations-and-Guidance/Manuals/Internet-Only-Manuals-ICMS-Items/CMS020107.html</a>		Not Part of a Review
419930	100-06	Medicare: CMS IOMs	<a href="http://www.cms.gov/Regulations-and-Guidance/Manuals/Internet-Only-Manuals-ICMS-Items/CMS019018.html">http://www.cms.gov/Regulations-and-Guidance/Manuals/Internet-Only-Manuals-ICMS-Items/CMS019018.html</a>		Not Part of a Review
419931	100-15	Medicare: CMS IOMs	<a href="http://www.cms.gov/Regulations-and-Guidance/Manuals/Internet-Only-Manuals-ICMS-Items/CMS1238527.html">http://www.cms.gov/Regulations-and-Guidance/Manuals/Internet-Only-Manuals-ICMS-Items/CMS1238527.html</a>		Not Part of a Review
419932	100-24	Medicare: CMS IOMs	<a href="http://www.cms.gov/Regulations-and-Guidance/Manuals/Internet-Only-Manuals-ICMS-Items/CMS019212.html">http://www.cms.gov/Regulations-and-Guidance/Manuals/Internet-Only-Manuals-ICMS-Items/CMS019212.html</a>		Not Part of a Review

## Installation and Configuration

### *Before You Begin*

This section provides instructions for configuring the CMS Data Feed for the RSA Archer Corporate Obligations Management use case. This document is not intended to suggest optimum installations or configurations.

It is assumed that the reader has both working knowledge of all products involved, and the ability to perform the tasks outlined in this section. Administrators should have access to the product documentation for all products in order to install the required components.

RSA Archer Corporate Obligations Management use case must be installed and working prior to the integration. Perform the necessary tests to confirm that this is true before proceeding.

**!> Important: The integration described in this guide is being provided as a reference implementation for evaluation and testing purposes. It may or may not meet the needs and use cases for your organization. If additional customizations or enhancements are needed, it is recommended that customers contact RSA Professional Services for assistance.**

## Prerequisites (System Requirements)

The Regulatory Intelligence Items application is required for installation and operation of the CMS Data Feed for the RSA Archer Corporate Obligations Management use case. It serves as a target application for the data feed.

Components	Recommended Software
<b>RSA Archer</b>	RSA Archer 6.1 and later
<b>Pre-Requisite Applications</b>	<u>Regulatory Intelligence Items</u> – (RSA Archer Corporate Obligations Management)

## Data Feed Configuration

The following data feed is used as a part of the integration process:

**RegInt\_\_CMS\_IOMs\_RSS\_Feed** is a RSS transporter feed which fetches the data from <https://www.cms.gov/Regulations-and-Guidance/Guidance/Manuals/Internet-Only-Manuals-IOMs-DL.xml> site and creates/updates the records in Regulatory Intelligence Items Application.

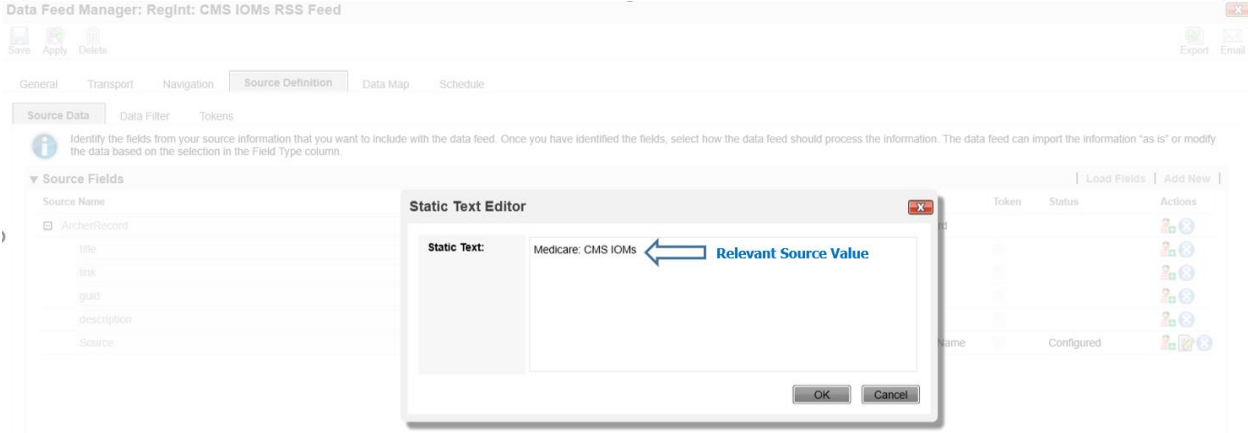
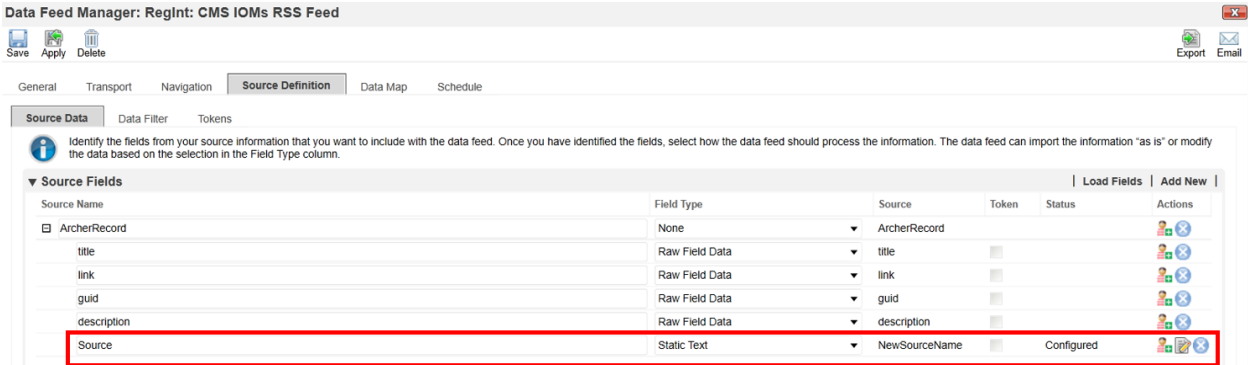
For data feed configuration, perform the following steps:

1. Log into the RSA Archer platform and click the Administration workspace tab.
2. Click **Data Feeds** in the Navigation Menu under Integration.
3. Click the **Import** link and browse to the **[File\_Name].dfx5**.
4. Verify settings on the **General Information** tab. be sure to change the status to **Active** before using the feed.
5. Verify the settings on the **Transport** tab.
6. Verify the settings on the **Source Definition** tab. This will be pre-configured to identify the necessary fields to generate a score snapshot.
7. Verify the settings and mappings on the **Data Map** tab.
8. The Key Definition fields should be pre-populated based on the information from the DFX import file. Ensure that the Key Definition fields are set.
9. The final configuration step is to schedule the data feed. Click the **Schedule** tab and configure the frequency and start time of the Data Feed.
10. Click **Save** to apply your configuration to the data feed. Click the **Run Detail** link for additional information on the status of the feed or to troubleshoot any feed errors.

**Note:** The Source Field on the Source Definition tab will be automatically populated when you import the data feed. Repopulating the fields by clicking on “Load Fields” will lead to removal of one pre-populated field from the list (i.e. Source). Hence, add a new field by clicking on “Add New” and rename as “Source” and select field type “Static Text” with appropriate value in the Text editor (see

# Corporate Obligations Management CMS Data Feed

screenshots below). Also, be sure that the data mappings are configured correctly after the load fields.



## Certification Environment for RSA Archer

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Date Tested: March, 2019

Certification Environment		
Product Name	Version Information	Operating System
RSA Archer Suite	6.5 P2	Virtual Appliance